

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

DAN KIKINIS, ET AL.

Application No.:

Filed: February 5, 2002

For: **IMAGE-ORIENTED ELECTRONIC
PROGRAMMING GUIDE SYSTEM**

Art Group:

Examiner:

EL 414998260US



INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 CFR § 1.56 and in accordance with 37 C.F.R. § 1.97, enclosed is a copy of Information Disclosure Citation Form PTO/SB/08. Copies of the references cited on PTO/SB/08 are enclosed herewith. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

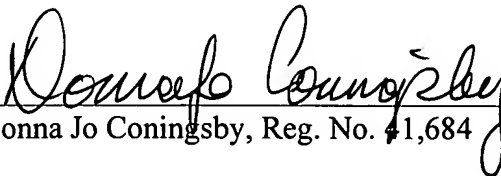
Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any additional fee due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: February 05, 2002


Donna Jo Coningsby, Reg. No. 41,684

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Los Angeles, California 90025
(503) 684-6200

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

Sheet

1

of

2

Application Number

Filing Date

February 5, 2002

First Named Inventor

Dan Kikinis

Group Art Unit

Examiner Name

Attorney Docket Number

4688P054

0398 U.S. PTO

10/072114

02/05/02

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	1	5,414,773		Handelman	05-09-1995	
	2	5,479,268		Young, et al.	12-26-1995	
	3	5,532,754		Young, et al.	07-02-1996	
	4	5,737,028		Bertman, et al.	04-07-1998	
	5	5,751,282		Girard, et al.	05-12-1998	
	6	5,818,441		Throckmorton, et al.	10-06-1998	
	7	5,841,563		Effenberger	11-24-1998	
	8	5,900,915		Morrison	05-04-1999	
	9	5,926,168		Fan	07-20-1999	
	10	5,956,456		Bang, et al.	09-21-1999	
	11	5,999,167		Marsh, et al.	12-07-1999	
	12	6,029,195		Herz	02-22-2000	
	13	6,075,575		Schein, et al.	06-13-2000	
	14	6,167,188		Young, et al.	12-26-2000	
	15	6,205,485		Kikinis	03-20-2001	
	16	6,233,389		Barton, et al.	03-15-2001	
	17	6,271,831		Escobosa, et al.	08-07-2001	
	18	5,808,613		Marrin, et al.	09-15-1998	
	19	6,002,403		Sugiyama, et al.	12-14-1999	
	20	4,621,259		Schepers, et al.	11-04-2086	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ³
		Office ⁴	Number ⁴	Kind Code ⁴ (if known)				
	21	pc	PCT/US01/12342		ISURFTV	09-10-2001		
	22	pc	PCT/US01/11666		ISURFTV	01-09-2002		
	23	pc	PCT/US01/15405		ISURFTV	10-18-2001		
	24	pc	PCT/US01/41664		ISURFTV	11-19-2001		
	25	pc	PCT/US01/13897		ISURFTV	09-20-2001		

Examiner Signature		Date Considered	
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*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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of 1995, no persons are required to respond to a collection of information

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	
				Filing Date	February 5, 2002
				First Named Inventor	Dan Kikinis
				Group Art Unit	
				Examiner Name	
				Attorney Docket Number	4688P054
Sheet	2	of	2		

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	26	5,704,837		Iwaaki, et al.	01-06-1998	
	27	6,061,055		Marks	05-09-2000	
	28	6,081,271		Bardon, et al.	06-27-2000	
	29	6,243,039		Elliot	01-05-2001	
	30	5,550,576		Klosterman	08-27-1996	
	31	5,684,525		Klosterman	11-04-1997	
	32	5,828,945		Klosterman	10-27-1998	
	33	5,923,362		Klosterman	07-13-1999	
	34	5,929,849		Kikinis	07-27-1999	
	35	5,940,073		Klosterman, et al.	08-17-1999	
	36	6,072,983		Klosterman	06-06-2000	
	37	6,078,348		Klosterman, et al.	06-20-2000	
	38	4,751,578		Reiter, et al.	06-14-2088	
	39	4,963,994		Levine	10-16-1990	
	40	5,038,211		Hallenbeck	08-06-1991	
	41	5,293,357		Hallenbeck	03-08-1994	
	42	5,243,418		Kuno, et al.	09-07-1993	
	43	5,999,187		Dehmlow, et al.	12-07-1999	

[illegible]

Examiner Signature	Date Considered
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*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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#5 S.W.H. 10/04/02

PTO/SB/17 (11-01)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Complete if Known

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$) 1,148.00

Application Number
Filing Date February 5, 2002
First Named Inventor Dan Kikinis
Examiner Name
Group/Art Unit
Attorney Docket No. 4688P054

10/072114
JC398 U.S. PTO
02/05/02

METHOD OF PAYMENT (check one)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None
☐ Deposit Account

Deposit Account Number 02-2666
Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments
☐ Charge any additional fee(s) during the pendency of the application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	740.00
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1)					740.00

2. EXTRA CLAIM FEES

Total Claims 38 - 20* = 18 X 18.00 = \$324.00
Independent Claims 4 - 3* = 1 X 84.00 = \$84.00
Multiple Dependent

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple Dependent claim, if not paid	
109	84	209	42	**Reissue independent claims over original patent	
110	18	210	9	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					408.00

*or number previously paid, if greater, For Reissues, see below

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type) Donna Jo Coningsby Registration No. 41,684 Telephone (503) 684-6200
Signature [Signature] Date 02/05/02

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